EDITOR'S NOTE

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Supreme Court, U.S.
FILED
APR 23 1985
ALEXANDER L. STEVAS
CLERK

ORIGINAL

NO. 84-953

IN THE

SUPREME COURT OF THE UNITED STATES

THE STATE OF FLORIDA,

Petitioner,

vs.

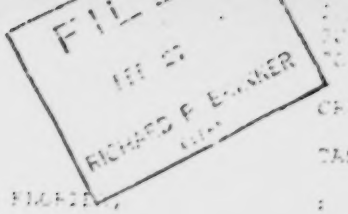
SILVIO P. ZAFRA,

Respondent.

On Petition for a Writ of Certiorari
to the District Court of Appeal of Florida,
Third District

RESPONDENT'S APPENDIX

86PP



IN THE CIRCUIT COURT OF THE ELEVENTH JUDICIAL CIRCUIT IN AND FOR DADE COUNTY, FLORIDA

CRIMINAL DIVISION

CASE No. 80-[illegible] (16)

STATE OF FLORIDA,

Plaintiff,

vs.

[illegible],

Defendant.

ORDER GRANTING DEFENDANT'S MOTION TO SUPPRESS EVIDENCE OBTAINED THROUGH AN UNREASONABLE SEARCH AND SEIZURE

THIS CAUSE having come on for a hearing before the Court upon the Defendant's Motion To Suppress Evidence Obtained Through An Unreasonable Search And Seizure Pursuant To Rule [illegible] Of The Florida Rules Of Criminal Procedure, and this Court having received and considered all testimony, evidence, and exhibits introduced into evidence by the prosecution and by the defense, and this Court having heard and considered argument of counsel for the prosecution and counsel for the defense, and this Court being fully advised in the premises for the aforementioned matter, this Court does make the following findings of facts and conclusions of law:

1. That the search and seizures complained of in the instant case do not qualify as "border searches" under either Florida or Federal law. This point was conceded by the State at the outset of the evidentiary hearing and again during closing argument.

2. That, predicated upon the facts of the instant case, the searches and seizures in question cannot be categorized or qualify as permissible law enforcement activities pursuant to 19 U.S.C. §1581. Thus, the prosecution cannot rely upon the provision of 19 U.S.C. §1581 to validate the search and seizure in question in the instant case.

3. That, considering the totality of the testimony, exhibits, and circumstances pertaining to the search and seizure in question, this Court does specifically find that the "stop" of the Defendant, and the subsequent search and seizures were predicated upon "reasonable" or "founded" suspicion that criminal

Customs violation had occurred or that any criminal activity was afoot involving the Defendant, his companion, or the vessel in question.

4. That, Earnest v. State, 293 S.O. 2d 111 (3rd D.C.A. 1974), is controlling and mandates the suppression of the evidence in the instant case.

5. That the search and seizures complained of in the instant case violate the search and seizure clauses of the Fourth Amendment to the United States Constitution and Article 1, Section 12, of the Florida Constitution.

6. That even assuming arguendo, that the search and seizures performed by officers of the United States Custom Service were predicated upon "reasonable" or "founded" suspicion, that the subsequent seizure of the evidence by officers of the Dade County Public Safety Department, without having applied for or obtained a search warrant, constitutes a further 'unreasonable search and seizure" and therefore, violates Article 1, Section 12 of the Florida Constitution. Predicated upon the totality of the facts and circumstances pertaining to the seizure of the evidence in the instant case by members of the Dade County Public Safety Department, this Court does specifically find that said Dade County Public Safety Department officers should have applied for and obtained a search warrant prior to the seizure of the evidence in the instant case.

Therefore, It Is Ordered and Adjudged that the Defendant's motion to suppress evidence, entertained by this Court on February 20th, 1981, is granted in its entirety and this Court does suppress the evidence described in the aforementioned motion.

Done and Ordered this the 27th day of February, 1981, nunc pro tunc effective February 20th, 1981, in open Court in Miami, Dade County, Florida.

STATE OF FLORIDA)
COUNTY OF DADE) S.S.

I HEREBY CERTIFY that the instrument is a true copy as shown by the records of said Court.
IN WITNESS WHEREOF I have hereunto set my hand and affixed the seal of said Court this
MAR 11 1981
Clerk Circuit and County Courts

CIRCUIT & COUNTY COURTS

Circuit Judge, 11th Judicial Circuit
County Judge, Dade County, Florida

IN THE CIRCUIT COURT OF THE 11TH
JUDICIAL CIRCUIT OF FLORIDA
IN AND FOR DADE COUNTY, FLORIDA

CRIMINAL DIVISION

Case No. 80-19386

STATE OF FLORIDA, :

Plaintiff, :

-vs- :

SILVIO ZAFRA, :

Defendant. :

:

Office of Public Defender
8th Floor Justice Building
1351 N. W. 12th Street
Miami, Florida
Friday, January 30, 1981
3:35 o'clock p.m.

DEPOSITION OF RICHARD OGDEN

Taken by Ralph Francis, Notary Public in and for the State of Florida at Large, at the time and place set forth above, pursuant to Notice filed herein.

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3

APPEARANCES:

No appearance on behalf of the
State of Florida.

ROSEN, PORTELA, BRONIS, ANGUEIRA & CLAY, P.A.
By: WILLIAM A. CLAY, ESQ.
1395 Coral Way
Miami, Florida
On behalf of the Defendant.

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I N D E X

Witness	Direct	Cross
Richard Ogden	3	--

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Thereupon

RICHARD OGDEN

was called as a witness, and after having been first duly sworn, was examined and testified as follows:

DIRECT EXAMINATION

BY MR. CLAY:

Q Would you state your name and occupation for the record.

A Richard Ogden. I am a Patrol Officer for the United States Customs Service.

Q How long have you been so employed?

A Approximately eighteen months.

Q Prior to that, how were you employed?

A Prior to that I worked as a temporary employee with the United States Customs Office of Investigations.

Q What kind of activities did you do in that position?

A In that position, primarily background investigation on persons or corporations applying for a Customs bonded license, and I also conducted investigations concerning minor navigation violations which violate a certain Code of Federal Regulations.

Q Prior to that job as a temporary employee, how were you employed?

A Prior to that, I was a part-time employee with a retail grocery chain.

Q Did you have any previous experience with law enforcement?

A No, sir.

Q Calling your attention to the case of the State of Florida versus Silvio Zafra, which is a prosecution for Unlawful Possession of Marijuana, and Importation of a Controlled Substance into the State of Florida, I am going to ask you some questions about that particular case.

I assume you had some involvement in it?

A Yes, sir.

Q In the interest of saving time, I am just going to advise you that I have taken the deposition of Customs Patrol Officer Nesossis, and, unless you have any objection, what I propose to do is summarize what Officer Nesossis has advised me and ask you if that is correct.

A Okay.

Q If you feel that in order to accurately explain what you did or what the other officers did, you need to expand on an answer beyond a yes or no, or if you feel I am inaccurate or incorrect in any way, go ahead and interrupt me and set the record straight,

Okay?

A Yes.

Q It is my understanding that on the day in question, you and Customs Patrol Officers Carlin and Nesossis were located in a van, conducting certain surveillance at the boat ramp area at the Key Biscayne Marina?

A Crandon Park Marina, that is correct.

Q It is also my understanding that this surveillance was being conducted by the three of you in the early morning hours?

A That is correct.

Q It is also my understanding that as a part and parcel of your surveillance activity, the three of you officers have been observing various vessels that approached the boat ramp and pier area.

A I don't really recall if a number of vessels, as you put it, came in prior to the suspect vessel, I really can't say for sure.

Q Okay. It is also my understanding that as part of your activities you were randomly stopping and boarding certain vessels for violations of the law?

A That is correct.

Q Essentially, this was in the nature of exploring for violations of Federal and State law?

A That is correct.

Q It is my understanding that you, Officer Carlin, and Officer Nesossis were observing a twenty-five foot Bertram cabin cruiser that had approached the pier near the ramp and observed a Public Safety Department officer in uniform approach that boat as it was positioned near the pier?

A That is correct.

Q At that point the three of you officers exited the van and began to approach the scene?

A We did that as the officer was leaving, more or less walking away from the boat.

Q Do you now know the Public Safety Officer to be Officer Butchko?

A Yes, sir. I have come across him a time or two since that.

Q Was Officer Butckho leaving the scene?

A He was walking away from the vessel. He was walking off the dock towards his vehicle when we decided we would go ahead and check the boat out further.

Q Was he alone or did he have any subject in his custody?

A He was alone.

Q Based on your discussions with Officer

Butchko, was he about to leave the scene, conclude his police activity and move on?

A To the best of my recollection, I believe he just walked up to the boat, shined his light into it briefly, and walked away, and as far as I can remember, he felt--he indicated that there was something wrong but he couldn't see what it was or find it. So he intended to leave.

Q In other words, he had made the mental decision to terminate his investigation and go on about his patrol?

A That is correct.

Q It is also my understanding that as the boat was being trailered, that is, put onto the trailer that was connected to a truck that had been backed down the boat ramp, the three of you officers approached Mr. Tafra and Mr. Sanchez and advised them that you were Customs Patrol Officers?

A That is correct.

Q By the way, were you officers in uniform or plainclothes?

A Plainclothes. We were wearing what we call raid jackets.

Q Which have an insignia?

A They have an insignia on the jacket, a

Customs patch on each side and in large yellow letters it says, "U. S. Customs" on the back.

Q It is my understanding that the three of you identified yourselves to Mr. Zafra and Mr. Sanchez as being United States Customs Officers---

A That is correct.

Q (Continuing) --and advised them that you were interested in boarding the vessel---

A That is correct.

Q (Continuing) --and that they were detained while a boarding of the vessel took place?

A That is correct.

Q It is my understanding that your primary activity at this point was to physically climb aboard the vessel and conduct a search---

A That is correct.

Q (Continuing) --whereas, Officers Carlin and Nesossis were primarily involved in detaining the two subjects and talking to them?

A That is correct.

Q While the vessel was on the trailer, isn't it true that you had to physically climb aboard it in order to see the deck area?

A That is correct.

Q Once you climbed aboard the vessel you

began a search of the vessel?

A That is correct, beginning with a visual examination.

Q When you say visual examination, what did you do?

A Basically, before I climbed on the boat I stood on the fender of the boat trailer and looked up over the edge of the boat into the boat and just did a quick visual scan of the deck and things on it.

The number one priority here is to make sure there is no other persons hidden on board or---

Q For your own safety?

What was the result of that particular aspect of your visual observation?

A I observed no other persons on the vessel, as far as I could see.

Q Did you observe anything that caused you any concern for your own safety or that of the other officers?

A No, sir. I did not. The first thing that caught my attention upon looking at the boat was the difference between the height of the deck and the bottom of the vessel. It was quite obvious that the height of the deck gave the appearance that a very large space existed under the deck.

A That is correct, nothing visible.

Q As a result of running your hand over the carpet, what did you perceive, or find out?

A When I ran my hands over the carpet I felt a very distinct rise or incongruency, I should say, in the deck. I could run my hand around the carpet and feel what appeared to be a seam, to me. There was a definite crack--the hatch or whatever it was at the time or what I thought it was at the time was not level with the rest of the deck. I felt that this probably could have been a hatch, and later proceeded to open it up.

Q Did you have to pull up the carpet in order to get to the hatch?

A That is correct. The carpet had to be completely pulled up off the deck.

Q Was the carpet fastened down by any means of adhesive, staples, nails, or anything?

A I believe a little bit of adhesive, drop spots here and there. It was not glued on really tight.

Q Now, at the point in time when you pulled up the carpet which was fastened to the deck by way of some adhesive material, did you know or have any idea whatsoever what might have been below the deck?

A With prior experience of myself and other officers, I suspected there was a good chance the vessel might have contained contraband.

Q Can you articulate any specific fact other than your experience, any specific fact about this boat at that point in time which caused you some suspicion that there might have been contraband below the deck?

A A couple of things. I noticed there were a lot of items on board the vessel which could have been indicative of a long trip, extra food, much more than the average person would take on a three or four-hour fishing trip.

Q Both individuals seemed to be acting a little nervous once they got the boat out of the water and we approached and identified ourselves.

I personally did not have any conversations with the suspects at any time, but just their reactions to routine questions by the other officers and things, something was definitely amiss.

When we pulled the carpet back and saw there was a hatch, Officer Kesoosis put his nose close to the seam and reported that he smelled what he thought may have been marijuana at the time.

Q Did Officer Kesoosis report this smell to

you before the hatch was opened?

A Right.

Q What happened next? Did you open the hatch?

A That is correct, he and I together obtained a few tools from our tool bag we usually carry with us and removed a number of screws--I don't remember how many--a dozen or more. It was a fairly large hatch--and removed the hatch and the marijuana was visible, right there.

Q Had you, Officer Carlin, Officer Nesossis, or Officer Butchko received any tip or intelligence information pertaining to that boat in advance of intercepting and stopping Mr. Zafra and Mr. Sanchez?

A No, sir.

Q So, essentially, this stopping of Mr. Zafra and Mr. Sanchez was all part and parcel of your random stopping of people in boats?

A That is correct.

Q Did you or Officer Carlin, Officer Nesossis, or Officer Butchko have any information or evidence to suggest that that particular twenty-five foot Bertram had been outside of the United States?

A No, sir.

Q Did you have any information or evidence to

Q You eventually did learn the identities of the two people arrested as being Mr. Sanchez and Mr. Zafra?

A Correct.

Q Looking back and focusing on your observations of the two men who got off the Bertram, can you now say that you can positively identify those two men as being the Silvio Zafra and the Sanchez that were arrested?

A Yes, sir. I believe I can.

Q Was there anything unusual about the twenty-five foot Bertram or the two men, Mr. Zafra and Mr. Sanchez, that you observed prior to their being stopped, detained, and the boat boarded?

A Not particularly, no.

Q Again, the only reason that that particular boat and those two subjects were singled out is the fact that you were going to randomly stop them?

A That is correct.

Q I understand that none of this police activity was done with a search warrant?

A That is correct.

Q Did you, Officer Carlin, Officer Nesossis, or Officer Butchko have any information or evidence to suggest that the twenty-five foot Bertram and Mr.

Carlin and Officer Nescssis said to Mr. Zafra and Mr. Sanchez other than identifying themselves as Customs Patrol Officers and advising they were going to be detained while a boarding and search was conducted?

A No, sir. I had no conversation. My proximity was in the vessel the entire time of the search.

Q When the three of you Customs Patrol Officers approached these two men, Mr. Zafra and Mr. Sanchez, it is my understanding you identified yourselves, all three of you, and told them that it was your intention to board the boat and search it?

A That is correct.

Q And you advised them they would be detained while the boarding and search took place?

A That is correct.

Q And you requested them to produce registration or documents for the boat?

A I did not. I believe one of the other officers did.

Q Neither Mr. Zafra or Mr. Sanchez were free to go or leave at that point?

A No, sir. They were not.

Q They were advised that they were detained

while this was going on?

A I don't think it was specifically defined that they couldn't leave, but we had no intention of letting them leave.

Q It was also pretty apparent to them that they were restrained until your activities were over?

A Yes, sir.

Q As I understand it, they were nor formally advised that they were arrested until after you discovered bales of marijuana below deck?

A That is correct.

Q It is also my understanding that no one advised them of their Constitutional Rights to remain silent, their privilege against self-incrimination and their right to have an attorney present until after they were formally arrested?

A Yes, sir. I believe that is correct.

Q Is there anything else you can remember about this case?

A No, sir. It happened quite a while ago, and I have been involved in a dozen or more since then.

Q Do you have any evidence or information to suggest Silvio Zafra, Mr. Sanchez, or the twenty-five foot Bertram had been involved in importing or

bringing marijuana into the State of Florida from a place outside of the State of Florida as opposed to merely transporting marijuana within the State?

A No, sir. I have no information regarding that.

Q It is my understanding that no marijuana was found in the physical possession of Mr. Zafra and Mr. Sanchez, that is to say, in their hands or on their person?

A Not to the best of my recollection, I don't believe so.

MR. CLAY: I have no further questions.

You have a right to read and sign this deposition when it is typed up, if you want to. It is totally your choice.

THE WITNESS: I will waive that right. I will get to see it, anyway.

(Thereupon the taking of the deposition was concluded at 3:55 o'clock p.m.).

(Thereupon reading, signing, and notice of filing were waived).

CERTIFICATE OF NOTARY

STATE OF FLORIDA)
) SS.
COUNTY OF DADE)

I, RALPH FRANCIS, Notary Public in and for the State of Florida at Large, do hereby certify that I reported the deposition of RICHARD OGDEN at the time and place hereinabove set forth; that the witness was first duly sworn by me; and that the foregoing pages numbered from 1 to 20, inclusive, constitute a true and correct transcription of my stenographic report of the deposition of said witness.

I FURTHER CERTIFY that I am neither attorney or counsel for, nor related to or employed by any of the parties connected with the action, nor financially interested in the action.

WITNESS MY HAND AND SEAL at Miami, Dade County, Florida, this _____ day of February, 1981.

_____
Notary Public

IN THE CIRCUIT COURT OF THE 11TH
JUDICIAL CIRCUIT OF FLORIDA
IN AND FOR DADE COUNTY, FLORIDA

CRIMINAL DIVISION

Case No. 80-19386

STATE OF FLORIDA,

Plaintiff,

-vs-

SILVIO ZAFRA,

Defendant.

Office of Public Defender
8th Floor Justice Building
1351 N. W. 12th Street
Miami, Florida
Friday, January 30, 1981
2:40 o'clock p.m.

DEPOSITION OF RICHARD M. NESOSSIS

Taken before Ralph Francis, Notary Public in and for the State of Florida at Large, at the time and place set forth above, pursuant to Notice filed herein.

- - -

APPEARANCES:

No appearance on behalf of the
State of Florida.

ROSEN, PORTELA, BRONIS, ANGUEIRA & CLAY, P.A.
By: WILLIAM A. CLAY, ESQ.
1395 Coral Way
Miami, Florida
On behalf of the Defendant.

- - - - -

I N D E X

Witness	Direct	Cross
Richard M. Nesossis	3	--

- - - - -

Thereupon

RICHARD M. MESOSSIS

was called as a witness, and after having been first duly sworn, was examined and testified as follows:

DIRECT EXAMINATION

BY MR. CLAY:

Q Would you state your name and occupation for the record?

A Richard M. Mesossis. I am a Customs Inspector at Miami International Airport.

Q How long have you been so employed at Customs?

A I have been with Customs for approximately fourteen months.

Q How long have you been assigned at the airport?

A I have been an inspector for a month and a half.

Q Prior to that, what was your assignment?

A I was a Customs Patrol Officer assigned to the Customs House on the Miami River.

Q You say you have been with Customs for fourteen months?

A Yes.

Q Prior to that, where were you?

A I was a police sergeant with the Panama Canal

Zone Police Department, Panama.

Q How long were you employed by the Canal Zone as a police officer?

A Seven years.

Q Calling your attention to the case of State of Florida versus Sylvio Zafra, did you have occasion to be involved in that particular case?

A Yes. I did.

Q On what date and at what time did you first have any involvement in the case?

A It was on October 19, 1980, at approximately 3:45 a.m. on that morning.

Q What was your first involvement or knowledge regarding this case?

A Myself and two other CPO's were on surveillance at the Crandon Park boat ramp on Key Biscayne, surveillance of the boat ramp.

Q Who was with you?

A CPO James Carlin and CPO Richard Ogden.

Q Where were you located in your surveillance at that point in time when you first had some involvement in this case?

A We were in a van parked at the boat ramp.

Q Was this a standard-type surveillance van with windows that you could see out of but which could

not 1. scen into?

A We have two vans. I believe we were in a CBA van which is like blue with regular windows. I'm not sure which van we were in that night.

Q Was the van stationary?

A Yes, sir, it was.

Q Where was the van located in relation to the boat that was eventually seized?

A The van was parked in one of the areas that is marked for parking vehicles with trailers. It is not directly in front of the boat ramp.

As you are facing the boat ramp, it would be to the right, approximately one hundred and fifty feet.

Q Did you or the other Customs Patrol Officers, Officers Ogden and Carlin, have any tip or information that a particular boat would be coming in laden with contraband?

A No, sir.

Q So this was just routine surveillance that night or might not yield an observation of some criminal conduct?

A Yes, sir, that is correct.

Q Had you had any contact with Public Safety Department officers relative to your surveillance?

A No, sir, not at that time.

Q What is the first observation you or the other officers, Officers Carlin or Ogden, made regarding this case?

A We were in the vehicle watching the boat ramp and at approximately 03:45 hours a twenty-five foot white Bertram came up the channel and docked at the pier, at one of the piers at the boat ramp--there is two finger piers.

Q Which pier did the Bertram dock at?

A It is the first pier which is closest, at that time was, closest to the office, the Marina office.

Q Where would that pier be in relation to your vantage point in the surveillance van?

Would that be the closest pier to you?

A It would be the closest pier to us, yes, sir.

Q You said that would be one hundred and fifty feet away from you?

A Approximately one hundred and fifty feet.

Q What time did you say this was, 3:45 a.m.?

A 3:45 a.m., yes, sir.

Q Were you, Ogden, and Carlin using any kind of devices to enhance your ability to see, such as

binoculars or night scopes or anything?

A We were using binoculars. We were not using night scopes.

Q How many sets of binoculars did you have?

A One pair. Officer Carlin carries a pair with him.

Q What was the first observation that any of the three of you Customs Patrol Officers made with regard to this case?

A Well, the boat came in and docked, and one of the individuals left the boat and went to a truck that was connected to a trailer parked directly in front of the boat ramp and backed the truck and trailer down into the water to put the boat on.

Q Do you now know which individual left the Bertram and went to the truck and backed the truck up?

A Yes, sir, that was Defendant Zafra.

Q What else did you observe?

A Before the men got the boat out of the water, a PSD Patrol vehicle pulled up and stopped in front of the truck at the boat ramp.

Q This was a marked police unit?

A Yes, sir, green and white, PSD.

Q Let me go back a little bit in the sequence

of events.

When the person you now recognize to be the Defendant, Sylvio Zafra, left the boat and went and got the truck--did the truck have a trailer on it, first of all?

A Yes, sir.

Q Did he back the trailer and the truck down the boat ramp?

A Yes, sir.

Q Did he back the trailer into the water?

A Yes, sir. The trailer was in the water and he stopped the truck and got out of the truck.

Q At that point what was being done with the Bertram?

A It was tied up to the dock. The other individual was with the boat on the dock. It was just floating in the water, sitting there.

Q Do you now know who the other person was who stayed with the boat while Mr. Zafra went to get the truck?

A That was Defendant Sanchez.

Q Where was the boat in relation to the truck and trailer when the Public Safety Department unit pulled up?

A The truck and trailer were directly in front

of the boat as if they were going to load it.

Q So the truck and the trailer would have been running parallel to the pier?

A Yes, sir.

Q Had the boat been pulled up to the trailer to begin the loading process?

A No, sir.

Q Was there any coordination between you, Officer Carlin, Officer Ogden, and the Public Safety personnel that pulled up?

A No, sir.

Q That was not arranged between the two agencies?

A No, sir.

Q What did you observe take place after you observed the Public Safety Department unit approach the area you have just mentioned?

A The officer got out of the car and went down onto the pier, and he appeared to be conversing with the two individuals. He shined his flashlight, looked over into the boat, and then walked down to the end of the pier and walked back to the truck with both individuals.

Q Do you know Officer Butchko?

A Yes, sir.

Q Was Officer Butchko alon

A Yes, sir.

Q Were there any other officers or people in the Public Safety Department vehicle he was driving?

A No, sir.

Q When Officer Butchko walked down the pier and approached the Bertram, did he go aboard the Bertram?

A No, sir.

Q Is that when you saw him use a flashlight?

A Yes, sir.

Q Where was the Defendant, Sylvio Zafra, when Officer Butchko went down to the boat and flashed a light on it?

A I believe he was standing by the pick-up truck and the other individual was out on the pier by the boat.

Q In terms of walking distance from the truck to the boat, can you give me an estimate of what that distance would be?

A Approximately thirty feet, twenty feet, twenty-five feet.

Q How long did Officer Butchko stay in the vicinity of the boat at the pier?

A As soon as he walked back down the pier with both individuals, we approached their location and got out of our vehicle.

Q But how long did Officer Butchko stay around the boat making observations?

A Possibly thirty seconds, just briefly, very briefly.

Q Could you see where Officer Butchko's light focused on the boat?

A No, sir. We could just tell he did shine it down inside, that was it.

Q Can you tell me where he was standing in relation to the bow and stern of the boat?

A He was standing approximately, maybe, six feet from the stern, right even with the gunnel, not quite halfway. He was in the forward--the rear portion, about six feet from the stern.

Q Was it high tide, low tide, or ebb tide at that time, do you know?

A I'm not sure.

Q Do you recall whether or not Officer Butchko stooped down or scrooched down in order to make his observations?

A No, sir. I don't believe so. He just stood up and leaned out over the boat and shined his

flashlight, and that was it, and we.ked back.

Q After Officer Butchko shone the flashlight, is that when you walked down the pier towards the truck in the company of Mr. Sanchez?

A Yes, sir.

Q What happened from that point on?

A Okay, at that time we decided to approach the three individuals and let Officer Butchko know we were there. We did. We identified ourselves at that time, and more or less, took over the boarding.

We advised Mr. Zafra and Mr. Sanchez that we were Customs Patrol Officers and that we were going to board his vessel.

We wanted to see his papers. At that time they loaded the boat on the trailer and pulled the boat out of the water.

Q Who did the talking for you Customs Officers, was there a lead officer?

A Myself and Officer Carlin were the two officers that talked to Mr. Zafra and Mr. Sanchez.

Q Do you speak Spanish?

A I don't speak fluent Spanish, but I do speak some Spanish; Officer Carlin does speak Spanish.

Q What you said to Mr. Zafra and Mr. Sanchez, was it in English or Spanish?

A I believe I spoke to him in English.

Q Did Officer Carlin speak Spanish to both men?

A Yes, sir. He did.

Q Do you know what Officer Carlin said to the men in Spanish?

A Not exactly, but I believe he told them that we were United States Customs Inspectors and that we did want to look at his boat registration papers, and advised him that we were going to board the vessel.

Q Now, I am a little unclear as to how the loading of the boat took place in light of the fact that you officers from Customs had advised Mr. Sanchez and Mr. Zafra that you wanted to see the documents for the boat, and that you were going to board it.

Were they requested to pull the boat out of the water onto the trailer, or did they go ahead and load the boat on the trailer before you told them you wanted to board the boat?

A I'm not sure if we requested them or not. Everything happened in, more or less of a sequence, and when we got there we advised them we were going to board the boat, and at the same time, they loaded it on.

One of us may have requested them to put in on the trailer. I really don't know if we did or not.

Q Were they in the process of loading the boat when they were approached?

A Yes, sir. They were going to put the boat on the trailer, yes, sir.

Q It was just a matter of a moment or two one way or the other?

A Yes, sir.

Q Now, were Mr. Zafra and Mr. Sanchez free to go, or were they being detained from the moment when you and the other two Customs Officers identified yourselves and presented authorization?

A Yes, sir. They were being detained.

Q What else was said by all parties to this activity?

A We normally ask the standard questions, such as where have you been, how long have you been gone, are there any other parties, do you have any foreigners on board, where are your papers, and who is the owner of the boat, and things like that.

Q Who asked those questions?

A I asked some of them, and Officer Carlin asked some of them.

Q What you asked you asked in English?

A Some of them I may have asked in Spanish, like if they were fishing, simple questions.

Q Did Officer Carlin speak exclusively in Spanish to these individuals?

A Yes, sir. I'm pretty sure he spoke Spanish.

Q Do you recall if Mr. Zafra or Mr. Sanchez answered any of these questions?

A Yes, sir. They did.

Q What did Mr. Zafra say and what did Mr. Sanchez say?

A Mr. Sanchez made a few comments; Mr. Zafra said he had been out fishing in the Key Largo area and that they had gone out earlier that evening and they had gone strictly for fishing, and the boat was not his. It was a friend's boat and he had borrowed it.

Q What else was asked of the two men, and what else did they say?

A At that time that was more or less it. While myself and Officer Carlin were on the ground, Officer Ogden had already entered the boat and was going to perform a Customs search.

Q In other words, while you and Officer Carlin were identifying yourselves to Mr. Zafra and

Mr. Sanchez, and while you were detaining them and asking them the questions that you have just mentioned, Officer Ogden went directly to the boat and boarded it?

A Right, yes, sir.

Q How did Officer Ogden board the boat?

A Well, it was on the trailer. He crawled up over the stern of the boat.

Q Was the stern portion of the boat in the water?

A No, sir. The boat was completely out.

Q Had the truck been driven forward in order to clear the water?

A He had moved it forward, yes, sir.

Q Were Mr. Sanchez and Mr. Zafra in the truck in the process of driving away when the three of you Customs Officers approached them?

A No, sir.

Q I am not quite picturing this clearly.

Did they pull forward and then stop, and as they were about to do something, that is when you came forward?

A No, sir. We came forward while Officer Butchko and the two individuals were standing by the truck, and, at the time we approached them and talked

to Officer Butchko and told him who we were, and at the same time Mr. Sanchez and Mr. Zafra pulled the boat out of the water, put it on the trailer, and pulled the truck forward at, more or less, the same time.

Q Did either you or Officer Carlin advise Mr. Zafra and Mr. Sanchez that they were being detained, that they couldn't go anywhere until you finished the questioning that you were engaged in and the boarding of the boat that you wanted to do?

A We may not have come right out and told them that they were being detained and that they couldn't go anywhere, but we did tell them that we were Customs and we were going to perform a search, and they cooperated. There was no--they didn't ask any questions like why or can't we go or anything else. They were very cooperative.

Q Based on what you said and what Officer Carlin said to them, they were certainly given the impression that they were not free to go?

A Oh, sure, right.

Q Where was Officer Butchko while all this was going on?

A He was standing with myself and Officer Carlin.

Q Was he doing any talking?

A No, sir.

Q At this point were you Customs Officers the primary officers involved in the investigation?

A Yes, sir.

Q Prior to the Public Safety Department, Officer Butchko, coming to the boat as it was tied up to the pier, had you Customs Officers made a decision what you were going to do regarding that boat?

A Yes, sir.

Q What decision had you made?

A We had decided, as soon as the two individuals had taken the boat out of the water, that we would approach them and perform a routine boarding.

Q During this duty shift that you were engaged in out there at Crandon Marina, had you been randomly approaching boats and boarding them and searching them?

A Yes, sir.

I couldn't say how many we did board that particular night, but that was our intentions, and I don't remember if we had boarded any or not. I'm pretty sure we had.

Q Basically, what you were doing was surveilling the area and exploring whether or not any boat had contraband in violation of United States or State law?

A Yes, right. We have, more or less, a profile we look for. Those boats that come in and meet certain ID and characteristics, we do board.

Q What did you observe Officer to do after he crawled aboard the boat?

A Okay, from the time Officer Ogden crawled on the boat, I didn't observe him until he called and advised me would I come aboard. He wanted to show me something, at which time I did get into the boat.

Q What was the first thing Officer Ogden did in the course of his inspection of the boat, to crawl aboard it?

In other words, he didn't go looking around the exterior of the boat first. The first thing he did was crawl aboard?

A I went around the outside, myself. I did that.

The first thing he did was crawl aboard. He was there several minutes, and then he called me.

Q Did you walk around the boat inspecting the

exterior of the boat before office. Order boarded it?

A No, sir. He was already on board. After I stood with Carlin for several minutes, I checked the exterior, walked around.

Q Can you describe this boat, particularly as far as length, beam, construction, and lay-out?

A It is a twenty-five foot Bertram, fiberglass. It was white, I believe, with blue trim. It is a cabin cruiser-type, it is an older boat that does have a forward cabin that you go down into that has bunks in it.

Q That would be a V-berth type cabin?

A Right, yes.

Q What about what you were---

A Its cabin top is a hard top, not a canvas-type top like a Bimini top. It is a molded fiberglass, solid piece.

Q Is there a walk-in cabin which is on the same level as the aft deck?

A No, sir. You have to walk down into the cabin. It is a Cutty-type cabin.

Q What is located on the boat at the point where you had been walking down to the forward cabin?

A Okay, on the right is the helm, the steering

wheel, and then on the left there is a chair, I believe, and an ice chest.

There were some other boxes. I believe there were other boxes, ice chests, to the left, which are built in behind one of the seats, but I'm not sure which it was, and the whole deck was carpeted with this green outdoor-type carpet, artificial grass.

Q This was on the aft deck?

A Yes, sir.

Q Now, the area where the helm is located, is this under the hard top that you have described?

A Yes, sir.

Q Was the companion way door or the doorway to the forward cabin closed at the time Officer Ogden went aboard?

A I'm not sure.

When I got aboard, there was no door there. I don't remember if there even was a door. There was not a door when I got aboard, it was not closed, if there was one.

Q When Officer Ogden called you, what did he say to you?

A Okay, he informed me that he had found what he suspected to be marijuana, suspect marijuana

residue all over the carpet inside the carpet, there, and that he thought that there was a hatch cover of some type underneath the carpet, directly before you approach the stairs, going down into the cabin.

He was asking my opinion, more or less, what I thought there was.

Q Did you then go aboard?

A Yes, sir. I did.

Q Did you observe any residue?

A Yes, sir. I did. There was a lot of seaweed, what appeared to be seaweed, and mixed in with that were marijuana seeds and particles of stems and what-not scattered all over the deck, plus there was a strong residue of lysol-type soap, such as Mr. Clean, and the carpet was soaked in it.

Q Can you give me a specific location where you observed the residue on the carpet?

A It was from in front of the engine cover, all the way to where you go down the stairs. It was in an area approximately five by five. It was everywhere. It was scattered all over the carpet.

Q There was also seaweed?

A Yes, sir. There were particles of this common seaweed you find washed up on the beach, mixed in with it.

Q In order to observe this residue and determine enough characteristics of it to form an opinion that it was marijuana, it was necessary to pretty much get down on all fours and look closely?

A Yes, sir. I did get down on one knee and pick quite a bit of it up, and that is when I discovered the lysol or whatever, because it soaked right through my pants and it was a bright yellow color.

Q Do I have an accurate mental picture here that the seaweed and the lysol was, kind of, camaflouging the residue to an extent so that it was necessary to get right down and perform a very close visual inspection?

A Correct. My opinion was that the lysol soap and past experience, it is used to cover up any smell that you would have if you had any marijuana contraband on board, and the seaweed would not be too uncommon for someone who had gone out fishing, possibly, but there was a more than normal amount on the deck, plus, when we did find the suspect marijuana residue, it was not unusual in that fact.

Q Officer Ogden asked you your opinion as to whether or not there might be some kind of a hiding place?

A Yes, sir. He had felt what he thought to be a hatch cover underneath the carpet, which he showed me, and I did agree with him that there was a hatch cover under there of some type.

Q While you and Officer Ogden were having this discussion and doing the inspection and search you have just described, what was Officer Carlin doing?

A We have a standard boarding form which we do fill out when we board a boat that has information on it of the Master of the vessel, the crew people on it, a description of the boat, registration information, where the boat is coming from, any unusual circumstances, which Officer Carlin--we carry a clip board with those forms--and at that time he was filling out the form.

Q Who was he getting the information from in order to fill out the boarding form?

A As far as I know, he was getting it from Mr. Zafra.

Q Did you overhear information being given to Officer Carlin by Mr. Zafra?

A Not at that time. I was on the boat.

Q It is more or less your assumption that that is what he was doing?

A When I left him, that is what he was going to

do.

Q What else did you, Officer Ogden, and Officer Carlin do?

A Okay, myself and Officer Ogden proceeded to pull the carpet that was covering that hatch, which we did, and the hatch was being held in place by approximately ten stainless steel screws, which I went to our truck--we carry a small bag that we carry test kits and tools in--I went to the van and got the bag that I carry, went back on board and took the screws out of the panel.

Q By the way, while the boat was on the trailer, were the gunnels of the boat over the height of the eyes of all of you?

A Yes, sir.

Q In other words, when the boat was on the trailer, in order to see into it and see the deck, it was necessary to physically climb aboard?

A You'd have to stand up on the trailer and hang on to the gunnel to look in. I had to do that one time.

Q Did there come a time when you, with the aid of tools, got the hatch out?

A We used the screw driver and took the screws out to get the hatch off.

Q What did you discover?

A Twelve bales of suspect marijuana. At that time we ran a field test, which proved positive.

Q Were they packaged in any fashion?

A Burlap and plastic.

Q Was the burlap and plastic intact, or were there any bales broken open?

A No, sir. I believe they were all intact.

Q What happened next?

A At that time I got off the boat and I advised Carlin that the boat--that we did discover the twelve bales.

At that time the two individuals were placed under arrest.

Q Okay. How were they placed under arrest, and by whom?

A Okay, we advised that we did find the marijuana on board and they were under arrest for the importation of marijuana.

I put the handcuffs on Zafra, I believe, and Carlin put the handcuffs on Mr. Sanchez.

Q Up until this point in time were Mr. Zafra and Mr. Sanchez advised of anything else?

Had they been told their rights to remain silent, have an attorney present, and so forth?

A Not up until that time, no, sir. Not unless Officer Carlin did it, and I don't believe he did it.

Q When they were advised they were under arrest for the importation of marijuana, did anyone advise them of their Constitutional Rights?

A Yes, sir. I read them in English and Officer Carlin read them in Spanish.

Q Were they given any forms or papers to sign to indicate that they understood those rights?

A Not at that time, no, sir.

Q Did Mr. Zafra or Mr. Sanchez say anything after being advised of their rights or during being advised of their rights?

A Not at that time, no, sir.

Q Did they say anything or did they merely listen to the rights?

A At that time they listened to them and they stated that they understood them.

Q Where was Officer Butchko when the formal advisement of arrest and Constitutional Rights took place?

A He was standing right there, right next to them.

Q What happened next after the formal arrest and advisement of Rights?

A Okay, we took the trailer and the truck, the boat and two prisoners back to the Miami Custors House on the river, on 5th Street, to fill out our paper work and to contact our supervisor and DEA concerning the case.

Q What took place on the ride back to the Customs House, anything of significance to the investigation?

A No, sir.

Q Was there any conversation with the defendants?

A No, sir. I drove the--another officer came and drove the truck and pulled the boat back, and Officer Ogden rode with him in the truck.

Myself and Officer Carlin drove the van back with the two prisoners, and there was no conversation that I can remember on the way back.

We wait until we get back to our office and get our paper work before we do anything else.

Q What about Officer Butchko, did he remain with you?

A No, sir, he did not. He, at that time, went about his business. We left him at the boat ramp and--he was assigned to that Key Biscayne Patrol.

Q What happened when you all arrived at the

Customs House?

A Officer Ogden stayed downstairs with the boat and the trailer, and the truck, and myself and Officer Carlin went upstairs, separated the two prisoners, and we proceeded to fill out our arrest or 151 reports, and other paper work.

We contacted DEA, who declined to prosecute on the case.

Q Who did you contact?

A I don't know the DEA officer's name that we called. I don't have the paper work on that.

Q Who conducted that discussion with the DEA personnel?

A I believe Officer Chuck Lampard did. I'm not positive. He was another officer working that night. He is a boat captain.

If he did not, Officer Carlin may have. But I believe Officer Lampard did.

Q Do you know why DEA declined to prosecute the case?

A They wouldn't prosecute anything under two thousand pounds, and they are swamped with work, as they say.

Q What was the quantity involved here?

A Approximately one thousand pounds, twelve

bales.

Q Were you ever advised of an accurate weight?

A No, sir. I was not. I believe it was less than that. I heard conversation that there was less than that, approximately eight hundred pounds, I'm not sure. I was never advised of an accurate amount.

Q Were there any statements whatsoever made by Mr. Zafra or Mr. Sanchez at the Customs House?

A Yes, sir. Mr. Zafra did make a statement to me concerning his activities.

Q Were you questioning Mr. Zafra?

A No, sir. I was not. He was asked information concerning this R-151 form, and as to his activities---

Q Personal history, you mean?

A Personal history-type of stuff, and he did make a statement voluntarily to me about his activities.

Q Do you recall what you were asking him, specifically, when he made a statement about the facts of the case?

A I believe I was asking him concerning the ownership of the boat, and he stated that it was a friend of his and that, you know, he had borrowed it to go fishing, and he stated that several days prior

to this he had been fishing down in the Key Largo area and that he had discovered a stash of marijuana bales in the Key Largo area, and that he and Mr. Sanchez had gone back this particular day or the night before to retrieve that stash, and he stated that he needed the money for his kids.

Q Did Mr. Zafra say anything else to you or did you pursue any further questioning?

A No, sir.

The other questions were who the owner of the boat was and his personal history, and that was more or less it.

Q Who did Mr. Zafra say owned the boat?

A He said it was a friend named Juan Valdez, and we did have paper work on it. He was the owner of the boat.

Q When you and the other officers initially approached Mr. Zafra and Mr. Sanchez, did you inspect the paper work on the boat at that time?

A Yes, sir. We asked him for the registration paper work, which is our normal practice, and we use it to get the information on the boat as far as the description and FL numbers--we check FL numbers to make sure the boat is the one on the paper work, and all the paper work is up to date and complete, whatever.

I didn't have that paper work. I believe Officer Carlin had it at that time.

Q Now, going back to your first contact with Mr. Zafra and Mr. Sanchez, when questions were being asked about the ownership of the boat and what they had been doing, Mr. Zafra made a statement there at the ramp area that they had been fishing?

A Yes, sir, right.

Q Did he say where they had been fishing at that point in time?

A Yes. He told me in the Key Largo area.

Q Did you or any other Customs Officer of Public Safety Officer ask Mr. Zafra or Mr. Sanchez specifically where they had been fishing?

A No, sir.

Q When you and the other Customs Officers approached Mr. Zafra and Mr. Sanchez to advise them that they were going to be detained for a while, while you conducted a boarding of the boat, did you or any of the other officers have any information that that boat had been outside of the United States and that that boat had crossed the border and had re-entered the United States?

A No, sir.

Q Did you officers, by you I mean all three

Customs Officers, or Officer Butch, have any information or evidence that this particular boat had made any contact with a boat that had been outside of the United States?

A No, sir.

Q Did you have any information or evidence that this Bertram in question in this case had any contact with any vessel that had, itself, had contact with a boat that had been in contact with a boat that had been outside of the United States?

A No, sir.

Q Was there anything particular about this particular Bertram vessel that caused you Customs Officers to decide to approach the individuals that had been on the boat, detain them, and board the boat?

A No, sir. There was nothing in particular outstanding about this boat that made us do it, you know, out of the ordinary.

Q So, essentially, this was part--this stopping of the two men, detaining of them, and advising them you were going to board the boat--was part of the random stopping and boarding you had been doing that morning?

A Yes, sir. It was. The boat was an extremely large boat. It is not unusual for a boat

that length to be on a trailer, but as far as beam and height and everything, it is a large boat. The time of the morning and everything, it fit a profile.

Q Did you have any specific fact that you can point to or state that caused you to believe that some kind of criminal activity was afoot regarding that boat?

A No, sir.

Q Was there anything unusual about the boat whatsoever, as far as its water line?

A No, sir. It was not -- a boat like this, very heavy--it is an old boat, 1975--it was sitting low in the water.

Q There was nothing unusual about the posture of the boat in the water?

A No, sir.

Q You certainly could not smell anything while you were in the van pertaining to the boat?

A No, sir.

Q At the time you Customs Officers approached the two men, stopped them, detained them and advised them you were boarding the boat, you did not smell any aroma of any marijuana at that point, did you?

A No, sir.

Q In fact, marijuana odor was not smelled at

all until you opened that hidden hatch?

A That is correct.

Q As I understand it, when you officers approached Mr. Zafra and Mr. Sanchez and advised them that they were going to be detained while you boarded the boat, they were cooperative in the sense that they did not resist?

A Correct, right.

Q But they were not asked for permission to board the boat, were they?

A No, sir.

Q Maybe you can clear up a question I have.

Officer Butchko was the author of the arrest report in this case, what we call an A-form, and on the arrest report or A-form it reflects that these men were charged with Trafficking of Marijuana over a thousand pounds, Possession with Intent to Distribute, Conspiracy to Commit a Felony, to-wit: Narcotics violation, and Possession of Marijuana over a Thousand Pounds.

Q Do you know when Officer Butchko re-entered the picture and prepared this paper work?

A After we contacted DEA and they declined, then we contacted the Central PSD Unit, who Officer Butchko works for. It was our intention to give the

arrest to Officer Butchko, since he was on the scene--that is our policy--give it to him and let him handle it from the PSD side.

The Central PSD declined to take the case, at which time we---

Q Do you know why they declined?

A Not exactly. We have had that problem before. We have a very hard time sometimes finding people to take it because they have to put their officers on overtime to do it, and, as far as the conviction factor is apparently low, and the man that runs their VIN Section, whose name is Lieutenant Richards--I believe their policy is--at that time was not to take them.

Our office did contact the officers from the south end, Detective Cockreham, who said that they'd take the case, and they did come to our office and we advised them that Officer Butchko from PSD had been the first officer at the scene, and they decided to call him in and let him be the arresting officer for PSD, which, at that time, they contacted his supervisor and they got him over to our office.

At that time he did all the paper work that he had to do for PSD. That was quite a few hours later, over a span of four or five hours, I

believe.

Q Where were Mr. Zafra and Mr. Sanchez at this point?

A They were at our office.

Q Did Officer Cockreham or Public Safety Department Officer Sanchez or Officer Butchko from the Public Safety Department ever interview the defendants, Mr. Sanchez and Mr. Zafra?

A The only thing that I remember them doing was they talked to the individuals directly, to fill out their forms.

Q You mean to get identifying information?

A To get identifying information. They did that with both of them present. They didn't separate them. They were both in our office or conference room, and they filled out the A-form as far as information.

As far as I know, Officer Butchko filled in the report. Other than that, they didn't talk to them, as far as questioning, that I remember.

Q You have no knowledge of whether or not Mr. Zafra or Mr. Sanchez made any statements to Officers Cockreham, Sanchez, or Butchko pertaining to the facts of the case?

A No, sir, not at our office. What they did

when they left, I don't know.

Q Did Mr. Zafra or Mr. Sanchez have any marijuana in their actual, physical possession?

A No, sir.

Q Was there any residue of marijuana on or embedded in the clothing of Mr. Zafra or Mr. Sanchez?

A No, sir.

Q Were there any fibers that you observed on or embedded in the clothing of Mr. Zafra or Mr. Sanchez that in any way could have corresponded to the bales?

A No, sir. We don't look at the clothes.

Q Mr. Zafra said that he discovered the stash of marijuana on one of the Keys in the Florida Keys?

A He stated to me in the Key Largo area. He didn't remember which or exactly or where it was.

Q Based on his description of where he discovered the stash of marijuana, that area would have been within the State of Florida, wouldn't it?

A Yes, sir.

Q Do you have any information or evidence to prove or to suggest that Mr. Zafra brought marijuana into the State of Florida from a place outside of the State?

A No, sir.

Q The first time you saw Mr. Zafra, exactly where was he located?

A The first time we saw him he was getting out of the boat. He was in the boat. The boat pulled up and docked and Mr. Sanchez and Mr. Zafra got out of the boat onto the pier, tied the boat up.

Q Obviously, other than what Mr. Zafra told you, you have no knowledge as to when he got on that boat?

A No, sir.

Q To your knowledge, the first time that Mr. Zafra or Mr. Sanchez were advised of their Constitutional Rights regarding self-incrimination, the right to remain silent and the right to have an attorney present during or before any questioning, was before they were formally under arrest?

A Yes, sir.

Q As I understand it, after Mr. Sanchez and Mr. Zafra were approached by you, Officer Carlin, and Officer Ogden, Officer Ogden's primary responsibility was to go immediately and climb aboard the boat?

A Yes, sir.

Q You and Officer Carlin remained with the two defendants---

A Correct.

Q (Continuing) --and essentially secured them and kept them from leaving?

A Correct.

MR. CLAY: I have no further questions.

You have a right to read and sign this deposition when it is transcribed. However, if you want, you may waive that right.

THE WITNESS: No problem. I will waive.

(Thereupon the taking of the deposition was concluded at 3:30 o'clock p.m.)

(Thereupon reading, signing, and notice of filing were waived).

CERTIFICATE OF NOTARY

STATE OF FLORIDA)
) SS.
COUNTY OF DADE)

I, RALPH FRANCIS, a Notary Public in and for the State of Florida at Large, do hereby certify that I reported the deposition of RICHARD M. NESOSSIS at the time and place hereinabove set forth; that the witness was first duly sworn by me; and that the foregoing pages numbered from 1 to 41, inclusive, constitute a true and correct transcription of my stenographic report of the deposition of said witness.

I FURTHER CERTIFY that I am neither attorney or counsel for, nor related to or employed by any of the parties connected with the action, nor financially interested in the action.

WITNESS MY HAND AND SEAL at Miami, Dade County, Florida, this_____day of February, 1981.

_____
Notary Public

IN THE CIRCUIT COURT OF THE 11TH
JUDICIAL CIRCUIT OF FLORIDA
IN AND FOR DADE COUNTY, FLORIDA

CRIMINAL DIVISION

Case No. 80-19386

STATE OF FLORIDA,

Plaintiff,

-vs-

SILVIO ZAFRA,

Defendant.

Office of Public Defender
8th Floor Justice Building
1351 N. W. 12th Street
Miami, Florida
Friday, January 30, 1981
4:00 o'clock p.m.

DEPOSITION OF JAMES A. CARLIN

Taken before Ralph Francis, Notary Public in and for the State of Florida at Large, at the time and place set forth above, pursuant to Notice filed herein.

- - - - -

APPEARANCES:

No appearance on behalf of the
State of Florida.

ROSEN, PORTELA, BRONIS, ANGUEIRA & CLAY, P.A.
By: WILLIAM A. CLAY, ESQ.
1395 Coral Way
Miami, Florida
On behalf of the Defendant.

- - - - - -

I N D E X

Witness	Direct	Cross
James A. Carlin	3	--

- - - - - -

Thereupon

JAMES A. CARLIN

was called as a witness, and after having been first duly sworn, was examined and testified as follows:

DIRECT EXAMINATION

BY MR. CLAY:

Q State your name and occupation for the record, please?

A James A. Carlin. I am a Customs Patrol Officer employed by the Patrol Division in Miami, Florida.

Q How long have you been so employed?

A A little over two years.

Q Prior to that, how were you employed?

A I was a Lieutenant with the Canal Zone Police in the Panama Canal Zone, which is the Federal Police Force.

Q You and CPO Nesossis were friends back then?

A Yes, sir. I was his training Sergeant when he came on as a rookie.

Q Did you have any occasion to be involved in any law enforcement activities relating to a Silvio Zafra and a twenty-five foot Bertram motor vessel?

A Yes, sir. I did.

Q What I propose to do is ask you some questions, but I am going to ask you in a particular way in the interest of speeding up the deposition and saving some time for both of us.

I have completed questioning of CPO's Nesossis and Ogden, and based on that, I think I know what the three of you and Officer Butchko, the Public Safety Department Officer, did in this case.

A My role was, kind of, minor in this instance, maybe some corroboration of what some of the others did. I stayed in the background that night, anyhow.

Q What I propose to do is just summarize what I have learned about the facts of this case from CPO's Ogden and Nesossis, and ask you if that is a correct statement.

If in any way I misstate something or state something that is not accurate or you feel you should expand upon it or explain, go right ahead and do so.

A Sure, I will.

Q With that explanation, I will go ahead and state my summary and stop intermittently to give you a chance to respond.

A Okay.

Q It is my understanding that on the day in question you, Officer Ogden, and Officer Nesossis were located in a Customs surveillance van at the Crandon Park Marina in the general vicinity of the boat ramp---

A Yes, sir, that is right.

Q (Continuing) --and that your activity was taking place in the early morning hours?

A Yes, sir.

Q It is my understanding that you officers were not particularly looking for any particular vessel or subjects that you had been tipped off about?

A No, sir. We were not.

Q You were just generally---

A Just a general surveillance.

Q And as part and parcel of your activity you were randomly stopping certain subjects and vessels and checking them out?

A Yes, sir.

Q Essentially, the three of you were exploring certain vessels for possible violations of the law?

A Yes, sir. We do the larger type trailers--a single axle, one wheel on each side, we don't fool with this because they don't fit a profile. We look for four and six wheelers and tandem-type trailers,

and there were a couple of them there, and that is why we were doing this particular type of surveillance.

Q What type of trailer was involved in the Silvio Zafra case?

A I believe it was a three-axle, three wheels on each side, a three-axle float on, I believe it was.

Q It is also my understanding that as a part of this procedure of randomly stopping certain people in boats you made the decision to approach and stop Mr. Zafra, detain him and board the boat which was already loaded onto a trailer?

A Yes, sir.

Q Your role was primarily what?

A Well, I was driving the van, to begin with, and as we approached the vessel, you know, it takes a few minutes to secure the van, and they had more or less exited before me, a few seconds, and I had a slipped disc in my back and it was bothering me at the time, so they went forward and we would wear a Customs raid jacket, which has the Customs badge and an emblem, and U. S. Customs on the back, and we identified ourselves with the badge.

They identified themselves--I was right behind them--I think it was Nesossis informed him we'd like to check his documents, and we were going to

perform a routine check of his vessel, at which time my role became one of filling out the boarding form which we do in most cases, nearly every case--if you had a complaint, there'd be a record of the search.

I, sort of, stayed back near the truck giving me access to the radio.

Q Your own truck?

A Yes, which was pretty close to the vessel.

Anyway, I had Zafra and Sanchez and there, and I was filling out a boarding form, which is routine-type information, owner of the vessel, Florida number, registration-type information.

Q As you started to fill out the boarding form, did Officer Ogden go immediately to the Bertram and climb aboard and begin his search?

A Yes, sir.

I think, I'm not sure if I had both individuals there, you know, at the exact moment or not, but I think there was a little more conversation and I did see Ogden go directly on the boat, and I'm not sure if Sanchez--anyway, they were both there and I think Nesossis gave me the registration. I'm not sure if I got it directly from him--anyway, I started to fill the boarding form out at that time. I never did go on board the vessel or take part in

that.

Q Mr. Zafra and Mr. Sanchez were advised they had been detained while the boarding and searching was taking place?

A Well, the fact that we would like to check your vessel and check your documents, I guess, indicated to them that they were going to be detained for a short while, but as far as myself telling them, you know, we are going to detain you for this, no, I never told them that.

Q They were not free to go?

A No, sir. I would say not.

Q You would not let them go had they chosen to walk away at that point?

A No, sir. I'm sure we'd have detained them and asked them to stay with the boat and their vehicle.

Q In the course of getting the information to enable you to fill out the boarding form, did you learn where the boat had been, where it had traveled from?

A There is a block there which says, "Arriving from," and, I'm not sure, I think they said they were out fishing. They didn't say where, just out fishing.

Normally, we put down arriving from local or

arriving from Bimini.

Q Your concern is primarily whether this is a vessel returning from outside the United States?

A Yes, sir, basically that is what we are interested in.

Q Whatever you learn from talking to Mr. Zafra or Mr. Sanchez as to where they had embarked from was local as opposed to outside the United States?

A He just said, "We were out fishing." I'm kind of fluent in Spanish. He said they'd been out fishing. He didn't say where. I, more or less, put local, parentheses, fishing, meaning local could mean off the coast of Florida, however you want to translate it.

Q It is my understanding that at no time in this case, either before stopping the men and boarding the boat or after their arrest was any evidence or information developed that this boat had been outside of the United States?

A Nesossis did most of the questioning of them. He did what we call our 151, here (indicating), and I basically was doing like the seizure-type forms.

We do a boat inventory form and inventory of seized merchandise.

I was, sort of, doing that type of paper work

and he was doing the other. Myself, I never determined from them if they had been foreign or not.

Q Do you have any information or evidence to suggest that the two men, Mr. Zafra and Mr. Sanchez, and the boat had been outside the United States?

A No, sir.

Q Do you have any information or evidence to suggest that the two men or the boat had been in contact with any vessel that was outside the United States?

A Well, this is other than the fact that the bales of marijuana, you know, I hate to say routine or a common thing, but they were wrapped very similar to bales that do come from mother ships and from Colombia and Jamaica, that is the only evidence.

Other than that, no, we didn't have any.

Q Did you have any information that the marijuana discovered below deck on the twenty-five foot Bertram was brought into the State of Florida from a place outside of the State of Florida by Mr. Zafra?

A No, sir. I don't.

Q Was there anything unusual about this particular twenty-five foot Bertram or the two men,

Mr. Zafra and Mr. Sanchez, prior to their being stopped and detained and the boat being boarded and searched?

A No, sir. They were moving kind of fast, you know, in other words, when they arrived they were not dilly-dallying at all. They knew what they were doing. Some of the people, when they arrive, they seem to take forever to get their boat out.

They mounted it up pretty fast and were rolling--other than that, there was no information or previous information.

Q However, this fast activity of trailering could have just reflected that they were efficient and knowledgable about trailering boats?

A Sure, yes, sir.

Q It is my understanding that neither Mr. Zafra or Mr. Sanchez were advised of their Constitutional Rights to remain silent, their privilege against self-incrimination, and the right to have an attorney present during questioning until after the marijuana was discovered below deck, at which time they were formally arrested and their rights were read to them?

A I think they were read by Quesada, in Spanish. They were another unit to back us up. We

Q My question was, there was no advisement of rights until the men were formally arrested after the marijuana was discovered?

A No, sir. I think I did all the questioning of them from the time we initially contacted them until they were arrested, and the only questions I asked were general information-type things referring to the boat, but at that exact time they were explained their rights, but prior to that they were not, no.

Q Did you ever observe Mr. Zafra or Mr. Sanchez to be in actual physical possession of any marijuana?

A No, sir.

You mean on his person?

Q Right.

A Other than being in charge of the vessel, no.

Q Is there anything else you know about this case other than what we have already discussed?

A Hearsay-type information. I know Nescsis told me, I am pretty sure it was Zafra had told him that, I think, they had found the marijuana stashed in one of the Keys or in a mangrove or something like

something about his kids, something like that. He needed the money for his family.

Q That statement was allegedly made by Mr. Zafra after the marijuana had been discovered?

A Yes. I believe that was at our office, the Customs Office, after it had been discovered. I don't have anything else.

Fortunately, my back was screwed up at the time and I, sort of, didn't play much of a role in it other than the boarding form. These are kind of a headache, the 151's. I did both of those, and I don't have anything else to add.

MR. CLAY: I have no further questions.

You have a right to read and sign this deposition.

THE WITNESS: I can waive it?

MR. CLAY: Sure.

THE WITNESS: Yes. I will waive it.

(Thereupon the taking of the deposition was concluded at 4:15 o'clock p.m.). Thereupon reading, signing, and notice of filing were waived).

CERTIFICATE OF SERVICE

STATE OF FLORIDA)
) SS.
COUNTY OF DADE)

I, RALPH FRANCIS, Notary Public in and for the State of Florida at Large, do hereby certify that I reported the deposition of JAMES A. CARLIN at the time and place hereinabove set forth; that the witness was first duly sworn by me; and that the foregoing pages numbered from 1 to 13, inclusive, constitute a true and correct transcription of my stenographic report of the deposition of said witness.

I FURTHER CERTIFY that I am neither attorney or counsel for, nor related to or employed by any of the parties connected with the action, nor financially interested in the action.

WITNESS MY HAND AND SEAL at Miami, Dade County, Florida, this____day of February, 1981.

_____
Notary Public

that I think has to supplement the depositions. We would like to take a lot of testimony.

THE COURT: Just in terms of my planning the rest of my day, how much testimony do you think I am going to have to hear?

MS. TARG: At the most, a half hour, 45 minutes.

I have three people. They are on their days off, Your Honor. So, I would like to get them out, also.

THE COURT: Okay.

MR. CLAY: Your Honor, could we just announce several stipulations which would, hopefully, streamline this hearing?

THE COURT: Yes.

MR. CLAY: In the case of The State of Florida versus Silvio Zafra on the motion to suppress evidence, there were three depositions taken in this case of three Customs Patrol Officers. These depositions were taken on January 30, 1981 of Customs Patrol Officer Richard M. Nassosis, Customs Patrol Officer Richard Odgen and Customs Patrol Officer James Carlin.

We are stipulating these depositions into evidence as part of the evidentiary basis, which Your Honor can consider.

THE COURT: Okay.

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as a Defense Exhibit 3.

THE COURT: State.

MS. TARG: No objection.

THE CLERK: Defendant's Exhibit 1.

[Thereupon, the document referred to was marked as Defendant's Exhibit 1 and received in evidence.]

MR. CLAY: Your Honor, in light of the deposition being in evidence, I have no further questions.

THE COURT: The Court has a couple.

Officer, following your examination of the vessel, you took Mr. Zafra and Mr. Sanchez into custody. You arrested them and took them into custody did you not?

THE WITNESS: Yes, sir.

THE COURT: You transported them and the vessel in question to the United States Custom House?

THE WITNESS: Yes, sir. Correct.

THE COURT: It is my understanding then that certain phone calls were made.

Did you make those phone calls?

THE WITNESS: No, sir, I didn't. I believe Officer Chuck Lampert made them.

We called the DEA and Officer Investigation to notify them of the arrest.

THE WITNESS: DEA, yes, sir, they did.

THE COURT: Did they advance a reason to you?

THE WITNESS: No, sir.

The normal reason I understand is that they will not prosecute anything under certain limitations. They have, you know, a limitation in poundage.

THE COURT: I see. Do you know what the limitation is?

THE WITNESS: I believe it's 2000 pounds, sir.

THE COURT: Following the DEA or the U.S. Attorney's declamation to prosecute, what did you then do?

THE WITNESS: Our procedure then is to notify the local police department which has jurisdiction in that particular area.

In this case it was the Dade County Public Safety Department, and they were notified.

THE COURT: Who did that notification?

THE WITNESS: I believe Officer Lampert did.

THE COURT: He was not at the scene of the arrest, was he?

THE WITNESS: No, sir, not originally. He came after everything was over and he did drive the pickup truck back.

Public Safety Department and seriously finally showed up?

THE WITNESS: Yes, sir.

THE COURT: Who was that? Do you know?

THE WITNESS: Officer Cochran. He's a member of the South VIN Squad, and then Officer Butchko, who was a Dade County officer on the scene.

THE COURT: Officer Butchko was on the scene during your inquiries down there at the marina?

THE WITNESS: Yes, sir, he was.

THE COURT: Did he go aboard the boat?

THE WITNESS: No, sir.

He might have.

No, sir, I don't believe he did.

He did, after we searched the boat and found the marijuana, climb up on the trailer and I believe look in at the open hole, but I don't believe he ever actually climbed into the boat.

THE COURT: And then did he go with you to the U.S. Customs House along with the defendants?

THE WITNESS: No, sir, he didn't. We went about his normal patrol.

I believe he was assigned to the Key Biscayne area and, after we did make contact with VIN and they

accept of the case, they requested her presence the

do the paperwork for the County.

THE COURT: I see. I have nothing further.

Either side may inquire of the witness.

MR. CLAY: I have just a couple of questions.

RECROSS EXAMINATION

BY MR. CLAY:

Q After the DEA declined prosecution, the personnel of PSD, the Central Station, was contacted regarding possible prosecution of this case?

A Yes, sir, they were.

Q The personnel at the Central Station of the Public Safety Department, likewise, decline prosecution, did they not?

A Yes, sir, they did.

Q It was only then when the detectives from the VIN Squad of the South Substation of the Public Safety Department were contacted?

A Yes, sir. Correct.

Q They eventually accepted the case and said they would pursue it?

A Correct. Yes, sir.

Q In the course of your discussions with the personnel at that South Substation of the Public Safety Department and in talking with the VIN Squad detectives, is

the case and, for that reason alone, this Court must fall as constituting an unreasonable search and seizure.

Obviously the statements my client made were made as a direct result and exploitation of initial illegalities and an unlawful stop and arrest, and which I contend his detention was, and the unlawful search.

I would just point out to the Court Defendant's Exhibit 1, which is essentially the arrest report of the Customs Officers and as to a reason for the search, it says, "Incidental to arrest."

They may be, in fairness to them, referring to the person search but it is not specified and nowhere in their arrest report does it state that they were performing some type of a registration or documentation check.

Nowhere in this report do they articulate any basis for their suspicion but just the mere fact that they boarded, found contraband and made arrests.

Thank you.

MR. TARG: Your Honor, in the first place, we are not arguing that this was a border search.

THE COURT: You will stipulate that it was not?

MR. TARG: I will stipulate it was not a border search.

BEAT YOU SULLIVAN & LOMBARDI DALE GENDRON

THE COURT: We have gotten over the large threshold.

MS. TARG: I will argue that it was a Customs search and, in determining whether the search was good or not good, you just have to look at the federal law on searches and the federal law on boarding of vessels.

Customs authority 19 U.S.C. 1581A provides that Customs has a right to board any vessel in Customs water to ask for documents or other papers regarding that boat within twelve miles of the coast of the United States, and this boat was seen coming from the waters.

The officers testified that it was only a few miles outside the Atlantic Ocean. It was clearly in Customs waters.

The cases generally hold that Customs may stop any boat in Customs waters.

There are cases which distinguish it and say that, if they are inland waterways, not quite by oceans, then the Customs Officers may make an investigatory stop of a vessel in inland waters under the same section of law 1581A, on facts which justify reasonable suspicion of illegal activity, and this evidence need not support suspicion of a border crossing but only the presence of contraband, and the

Q While you were doing that, the other two Customs Patrol Officers were involved in some other activity?

A That's correct.

Q Is it not true that Customs Patrol Officer Carli was suffering from a back injury, specifically a slipped disc, and he lagged a little bit behind?

A That's correct.

Q You have been testifying regarding three axle trailers and some type of a profile associated with it.

Is it not true that three axle trailers or four axle trailers are just as consistent with being used by innocent boat owners who happen to have large boats that necessitate that type of trailer equipment? Is that not true?

A Yes, sir.

Q There is nothing uniquely specific about three wheel or three axle or four axle trailers which inevitably point to involvement in drug smuggling, is there?

A They dictate a strong possibility in our opinions, but it's possible that they're--I'm sure that they're used by innocent persons.

Q Would you not agree that probably there are more three and four axle boat trailers used innocently--

MS. TARG: Objection.

BERT FRIEDMAN TOM LOMBARDI DALE GRADRON

MR. TARG: That would be calling for speculatio
Your Honor.

THE COURT: He can answer, if he knows.

A I would say that a large percentage of them are
probably innocent.

That's hard to say.

Q Sir, are you saying that you and the other
Customs Patrol Officers first observed the truck and trail
in question in this case at 8:00 p.m. on the night in
question?

A Or thereabouts--eight or nine o'clock.

Q That would essentially be the first involvement
of you and the other officers in this case, would it not?

A That would be the first time we sighted it--
right--and made a note of it.

Q Did I understand you to say that it is your
experience that the typical or customary time it takes a
vessel to travel from, let us say, Crandon Marina to the
Bimini area and return is four to five hours?

A Approximately four and a half to five hours.

Q When you made your observations of this vessel,
you had absolutely no idea what kind of engine it had in
did you?

A No, sir, I didn't.

BERT FRIEDMAN COMPANY DALE GENERON